

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
066561.0103

Total Pages in this Submission
24

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application
Washington, D.C. 20231

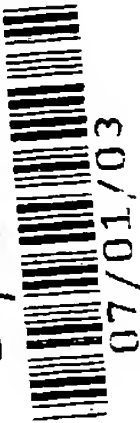
Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

SASH LOCK

and invented by:

John D. Coleman
Raymond Hufnagle

19249 U.S. PTO
10/609412



If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Enclosed are:

Application Elements

- 1. ☒ Filing fee as calculated and transmitted as described below
- 2. ☒ Specification having 14 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
066561.0103

Total Pages in this Submission
24

Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☐ Formal Number of Sheets _____
- b. ☒ Informal Number of Sheets 6
4. ☒ Oath or Declaration
- a. ☒ Newly executed (original or copy) ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☐ Certificate of Mailing

☐ First Class ☐ Express Mail (Specify Label No.): _____

**UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
066561.0103

Total Pages in this Submission
24

Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

16. ☒ Additional Enclosures *(please identify below):*

Application Data Sheet

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

17. ☒ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
066561.0103

Total Pages in this Submission
24

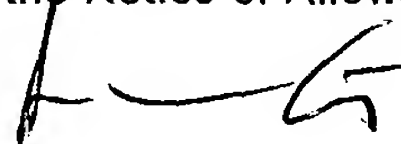
Customer Number: 24735

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	12	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	1	- 3 =	0	x \$84.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$750.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$750.00

- ☒ A check in the amount of \$750.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 02-0375 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).



Signature

Dated:

Jay M. Cantor
Reg. No. 19906
(202) 639-7713

CC:



FEE TRANSMITTAL MAIL STOP PATENT APPLICATION		Complete If Known																																																	
		Application No.		To be assigned																																															
		Filing Date		July 1, 2003																																															
		First Named Inventor		John D. Coleman et al.																																															
		Examiner Name		To be assigned																																															
		Group Art Unit		To be assigned																																															
Total Amount Of Payment		\$790.00		Attorney Docket No.		066561.0103																																													
METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																															
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to Deposit Account No. 02-0375 in the name of Baker Botts L.L.P.</p> <p><input type="checkbox"/> Charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 to Deposit Account No. 02-0375.</p>				<p>3. ADDITIONAL FEES</p> <table border="1"><thead><tr><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td><input type="checkbox"/> Surcharge - late filing fee or oath</td><td>\$</td></tr><tr><td><input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet</td><td>\$</td></tr><tr><td><input type="checkbox"/> Extension for reply within 5 months</td><td>\$</td></tr><tr><td><input type="checkbox"/> Notice of Appeal</td><td>\$</td></tr><tr><td><input type="checkbox"/> Filing Brief in Support of Appeal</td><td>\$</td></tr><tr><td><input type="checkbox"/> Request for Oral Hearing</td><td>\$</td></tr><tr><td><input type="checkbox"/> Utility Issue Fee (or reissue)</td><td>\$</td></tr><tr><td><input type="checkbox"/> Design Issue Fee</td><td>\$</td></tr><tr><td><input type="checkbox"/> Plant Issue Fee</td><td>\$</td></tr><tr><td><input type="checkbox"/> Petitions to Commissioner</td><td>\$</td></tr><tr><td><input type="checkbox"/> Petition to Revive (unavoidable)</td><td>\$</td></tr><tr><td><input type="checkbox"/> Petition to Revive (unintentional)</td><td>\$</td></tr><tr><td><input type="checkbox"/> Petitions Related to Provisional Applications</td><td>\$</td></tr><tr><td><input type="checkbox"/> Submission of Information Disclosure Statement</td><td>\$</td></tr><tr><td><input type="checkbox"/> Filing Submission After Final Rejection</td><td>\$</td></tr><tr><td><input checked="" type="checkbox"/> Recordation of Assignment Document</td><td>\$ 40.00</td></tr><tr><td><input type="checkbox"/> Filing Request for Reexamination</td><td>\$</td></tr><tr><td><input type="checkbox"/> Other (specify) Advanced Patent Copies</td><td>\$</td></tr></tbody></table>				Fee Description	Fee Paid	<input type="checkbox"/> Surcharge - late filing fee or oath	\$	<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	\$	<input type="checkbox"/> Extension for reply within 5 months	\$	<input type="checkbox"/> Notice of Appeal	\$	<input type="checkbox"/> Filing Brief in Support of Appeal	\$	<input type="checkbox"/> Request for Oral Hearing	\$	<input type="checkbox"/> Utility Issue Fee (or reissue)	\$	<input type="checkbox"/> Design Issue Fee	\$	<input type="checkbox"/> Plant Issue Fee	\$	<input type="checkbox"/> Petitions to Commissioner	\$	<input type="checkbox"/> Petition to Revive (unavoidable)	\$	<input type="checkbox"/> Petition to Revive (unintentional)	\$	<input type="checkbox"/> Petitions Related to Provisional Applications	\$	<input type="checkbox"/> Submission of Information Disclosure Statement	\$	<input type="checkbox"/> Filing Submission After Final Rejection	\$	<input checked="" type="checkbox"/> Recordation of Assignment Document	\$ 40.00	<input type="checkbox"/> Filing Request for Reexamination	\$	<input type="checkbox"/> Other (specify) Advanced Patent Copies	\$						
Fee Description	Fee Paid																																																		
<input type="checkbox"/> Surcharge - late filing fee or oath	\$																																																		
<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	\$																																																		
<input type="checkbox"/> Extension for reply within 5 months	\$																																																		
<input type="checkbox"/> Notice of Appeal	\$																																																		
<input type="checkbox"/> Filing Brief in Support of Appeal	\$																																																		
<input type="checkbox"/> Request for Oral Hearing	\$																																																		
<input type="checkbox"/> Utility Issue Fee (or reissue)	\$																																																		
<input type="checkbox"/> Design Issue Fee	\$																																																		
<input type="checkbox"/> Plant Issue Fee	\$																																																		
<input type="checkbox"/> Petitions to Commissioner	\$																																																		
<input type="checkbox"/> Petition to Revive (unavoidable)	\$																																																		
<input type="checkbox"/> Petition to Revive (unintentional)	\$																																																		
<input type="checkbox"/> Petitions Related to Provisional Applications	\$																																																		
<input type="checkbox"/> Submission of Information Disclosure Statement	\$																																																		
<input type="checkbox"/> Filing Submission After Final Rejection	\$																																																		
<input checked="" type="checkbox"/> Recordation of Assignment Document	\$ 40.00																																																		
<input type="checkbox"/> Filing Request for Reexamination	\$																																																		
<input type="checkbox"/> Other (specify) Advanced Patent Copies	\$																																																		
<p>2. <input checked="" type="checkbox"/> Check Enclosed. The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to Deposit Account No. 02-0375 in the name of Baker Botts L.L.P., The Warner, Suite 1300, 1299 Pennsylvania Avenue, N.W., Washington, D.C. 20004-2400.</p>																																																			
<p>FEE CALCULATION</p> <p>1. BASIC FILING FEE <input checked="" type="checkbox"/> Large Entity Small Entity</p> <table border="1"><thead><tr><th></th><th>Fee Paid</th></tr></thead><tbody><tr><td>Utility Filing Fee</td><td>\$ 750.00</td></tr><tr><td>Design Filing Fee</td><td>\$</td></tr><tr><td>Plant Filing Fee</td><td>\$</td></tr><tr><td>Reissue Filing Fee</td><td>\$</td></tr><tr><td>Provisional Filing Fee</td><td>\$</td></tr></tbody></table>					Fee Paid	Utility Filing Fee	\$ 750.00	Design Filing Fee	\$	Plant Filing Fee	\$	Reissue Filing Fee	\$	Provisional Filing Fee	\$																																				
	Fee Paid																																																		
Utility Filing Fee	\$ 750.00																																																		
Design Filing Fee	\$																																																		
Plant Filing Fee	\$																																																		
Reissue Filing Fee	\$																																																		
Provisional Filing Fee	\$																																																		
<p>2. EXTRA CLAIMS FEES</p> <table border="1"><thead><tr><th colspan="7">CLAIMS AS AMENDED</th></tr><tr><th rowspan="2">For</th><th rowspan="2">Number Present</th><th rowspan="2">Highest Number Paid For</th><th rowspan="2">Extra</th><th colspan="2">Rate</th><th rowspan="2">Amount</th></tr><tr><th>Large Entity</th><th>Small Entity</th></tr></thead><tbody><tr><td>TOTAL CLAIMS</td><td>12</td><td>20</td><td>0</td><td>x \$ 18.00</td><td>x \$ 9.00</td><td>\$0.00</td></tr><tr><td>INDEPENDENT CLAIMS</td><td>1</td><td>3</td><td>0</td><td>x \$ 84.00</td><td>x \$ 42.00</td><td>\$0.00</td></tr><tr><td colspan="4">MULTIPLE DEPENDENT CLAIMS</td><td>\$ 280.00</td><td>\$ 140.00</td><td>\$0.00</td></tr><tr><td colspan="6">TOTAL EXTRA CLAIMS FEES</td><td>\$0.00</td></tr></tbody></table>								CLAIMS AS AMENDED							For	Number Present	Highest Number Paid For	Extra	Rate		Amount	Large Entity	Small Entity	TOTAL CLAIMS	12	20	0	x \$ 18.00	x \$ 9.00	\$0.00	INDEPENDENT CLAIMS	1	3	0	x \$ 84.00	x \$ 42.00	\$0.00	MULTIPLE DEPENDENT CLAIMS				\$ 280.00	\$ 140.00	\$0.00	TOTAL EXTRA CLAIMS FEES						\$0.00
CLAIMS AS AMENDED																																																			
For	Number Present	Highest Number Paid For	Extra	Rate		Amount																																													
				Large Entity	Small Entity																																														
TOTAL CLAIMS	12	20	0	x \$ 18.00	x \$ 9.00	\$0.00																																													
INDEPENDENT CLAIMS	1	3	0	x \$ 84.00	x \$ 42.00	\$0.00																																													
MULTIPLE DEPENDENT CLAIMS				\$ 280.00	\$ 140.00	\$0.00																																													
TOTAL EXTRA CLAIMS FEES						\$0.00																																													
SUBMITTED BY						Complete (if applicable)																																													
Typed or Printed Name		James B. Arpin		Registration No.		33,470																																													
Signature		Date		July 1, 2003		Deposit Account User ID																																													
						02-0375																																													